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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.
14356Z

In Re Application Of: **Mikio Oda et al.**

1 P E
JUL 30 2004
PATENT & TRADEMARK OFFICE
U.S. DEPARTMENT OF COMMERCE

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/681,019	October 8, 2003	Unassigned	23389	2812	6178

Title: OPTICAL CIRCUIT IN WHICH FABRICATION IS EASY

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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 P A T E N T & T R A D E M A R K S

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OPTICAL CIRCUIT IN WHICH FABRICATION IS EASY

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.

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I certify that this document and fee is being deposited on July 28, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

 Signature

Paul J. Esatto, Jr.

 Typed or Printed Name

Paul J. Esatto, Jr.

Registration No. 30,749

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PJE:jam

CC:

Dated: July 28, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mikio Oda et al.

Examiner: Unassigned

Serial No.: 10/681,019

Group Art Unit: 2812

Filed: October 8, 2003

Docket: 14356Z

For: OPTICAL CIRCUIT IN WHICH
FABRICATION IS EASY

Dated: July 28, 2004

Conf. No.: 6178

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 23313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

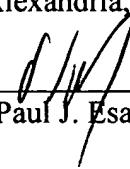
In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Patent Application No. 2000-221419 dated August 11, 2000.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on July 28, 2004.

Dated: July 28, 2004


Paul J. Esatto, Jr.

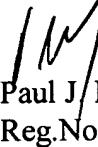
The reference was cited in an Office Action dated June 28, 2004, received from the Japanese Patent Office. Applicants are submitting a copy of the above-cited reference, together with an English translation of the Examiner's comments regarding the reference from the Official Action. The relevance of the reference is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the reference was cited. The relevance to the pending U.S. patent application is that the reference was cited in a foreign patent application on the same subject matter. However, no independent analysis of the reference, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent

office in a counterpart foreign application not more than three months prior to the filing of this
Information Disclosure Statement.

Respectfully submitted,


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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

JUL 30 2004

Docket Number (Optional)

Application Number
10/681,019

Applicant(s)

Mikio Oda et al.

Filing Date

October 8, 2003

Group Art Unit

2812

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER _____ **DATE CONSIDERED** _____

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.